

**IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC  
20231 U.S.A.

11/21/01  
11000 U.S. PRO  
09/988938  
11/21/01

**Utility Patent Application Transmittal**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 115-01 US Div(2)  
First Inventor: Stephen J. Kovacic  
Title: Method of Producing a SI-GE Base Heterojunction Bipolar Device

Date: November 20, 2001

1. ☒ Fee Calculation (see Box 14)
2. ☒ Applicant claims small entity status.
3. ☐ Specification  
- Description  
- Claim(s)  
- Abstract of the Disclosure  
Total Pages - -
4. ☐ Drawing(s) - ( Figures)  
Total Sheets - -
5. ☒ Oath or Declaration  
Total Pages - 2
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Unexecuted
  - c. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 12 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ Information Disclosure Statement (IDS)/PTO-1449  
Copies of IDS Citations ☐
8. ☒ Preliminary Amendment
9. ☒ Return Receipt Postcard *(Should be specifically itemized)*
10. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
11. ☒ Other: Copy of Revocation of Agent and Appointment of New Agent and copy of Notice Regarding Power of Attorney

12. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior Application No. **09/492,463** filed **January 27, 2000**

Prior application information: Examiner: **T. Dang** Group Art Unit: **2823**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon which a portion has been inadvertently omitted from the submitted application parts

13. CORRESPONDENCE ADDRESS:

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14. FEE CALCULATION:

						AMOUNT
1.	BASIC FILING FEE					\$740.00
2.	EXTRA CLAIM FEES					
				Extra Claims	Fee Paid	
	Total Claims	18	-	20	= 0 x \$18 =	-
	Independent Claims	2	-	3	= 0 x \$84 =	-
	Multiple Dependent					\$280
3.	Other:					\$
	Subtotal					\$740.00
4.	Fees Reduced by 1/2 for Small Entity Status					- 50%
5.	Recording Patent Assignment					-
	TOTAL					\$370.00

15. ☒ The Commissioner is hereby authorized to charge all fees in Box 14 above to Deposit Account 50-1142.
16. ☒ The Commissioner is hereby authorized to charge any additional fees required or credit any overpayment to Deposit Account No. 50-1142.

Submitted By



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